

# REFINITIV & REFINITIV BENCHMARKS SERVICES (UK) LIMITED

CORPORATE

## WHISTLEBLOWING STATEMENT

September 2023

Refinitiv, an LSEG business, and its wholly owned subsidiary Refinitiv Benchmark Services (UK) Limited ("RBSL"), administer, calculate and distribute Benchmarks across multiple asset classes across global jurisdictions.

The integrity of benchmark data is of supreme importance to multiple stakeholders and more widely the integrity of the financial services industry. The intent of this statement is to encourage any person on any anonymous basis to alert Refinitiv of conduct that may involve manipulation, or attempted manipulation, of the benchmark(s) RBSL or Refinitiv administers, calculates, and/or publishes.

In order to ensure the integrity of published benchmarks are accurate and generated from reliable, correct data, Refinitiv applies controls, including monitoring, training, and where necessary, investigations.

Importantly, Refinitiv implements the LSEG Group whistleblowing process to encourage and facilitate the reporting of any concerns in regard to the integrity of a benchmark and the process for submitting data, administering, calculating and publishing a benchmark. This applies internally to Refinitiv employees, as well as persons outside of Refinitiv who have any concerns or suspicions about the accuracy of data or the behaviour of any person/party connected to the publication and use of benchmark data.

The directors of RBSL and Refinitiv fully support whistleblowing and are committed to protecting whistleblowers, the important information they provide and more widely, the integrity of the whistleblowing processes within RBSL and Refinitiv. Consequently, all persons who are considering reporting their concerns in good faith can be assured that their concerns will be taken seriously, that confidentiality of reports is maintained to the fullest extent possible and information shared on a need-to-know basis only. In addition, if you are a Refinitiv employee, you will be protected against retaliation.

Whistleblowing is a key control within the corporate governance and is designed to protect all stakeholders, including clients and the communities the benchmark data serves.

### Guide to Reporting

Individuals may submit confidential and anonymous whistleblowing concerns online or through the local confidential telephone lines as detailed on the [Whistleblowing LSEG website](#).